

Draft (June 13, 2002) – Deans' Survey

CORNELL HIGHER EDUCATION RESEARCH INSTITUTE (CHERI)
SURVEY OF START-UP COSTS AND LABORATORY ALLOCATION RULES AT
RESEARCH AND DOCTORAL UNIVERSITIES

College Name
University Name
IPEDS Code
Respondent
Respondent Title
Respondent Telephone
Respondent Email

This is a survey of start-up costs and laboratory allocation rules at selected science and engineering departments at research and doctoral universities. Between 3 and 6 departments are being surveyed at each institution and at least one of the surveyed departments at your university is located in your college. The survey is part of the research being conducted at the CHERI on the growing importance and costs of science to academic institutions. Your responses will be kept confidential and will be used only as input into statistical tabulations of how start-up costs and laboratory allocation rules vary across disciplines, colleges and categories of universities. Neither the name of your institution, nor the data for your individual college, will appear in any reports. A report summarizing our findings will be made available on the CHERI web page (www.ilr.cornell.edu/cheri) and you will be informed as soon as that report is available.

If you would like to provide a narrative with clarifying remarks to accompany your answer to any questions, please do so on separate sheets of paper that include your name, your college, and your institution's name at the top of each sheet.

The Andrew W. Mellon Foundation and the Atlantic Philanthropies (USA) Inc. fund the Cornell Higher Education Research Institute

SECTION A: START-UP COSTS

Institutions incur a variety of different types of start-up costs when they hire new scientists, engineers and faculty in other disciplines. These include, but are not restricted to, the provision of summer salary for the new faculty member for one or more summers, reductions in teaching loads for one or more years, the provision of graduate assistants, laboratory technicians or postdoctoral research associates, the construction and renovation of laboratory facilities, the purchase of major pieces of equipment, the provision of materials for laboratories, the provision of computing equipment and the provision of research funds that may be used for any research related purposes (such as travel, book purchases, communications).

1. Please provide a rough estimate of the dollar magnitude of the total start-up costs that your college incurred during the most recent academic year for which you have data

2. What elements from the description above and what other items are included in your college's start up packages?

3. What is the dollar magnitude of the start-up costs that your college provides for the typical new assistant professor in your college's most expensive (in terms of start-up costs) department?

Average _____ and/or From _____ to _____
Department _____

4. What is the dollar magnitude of the start-up cost package your college provides to attract senior faculty to your college's most expensive (in terms of start up costs) department?

Average _____ and/or From _____ to _____
Department _____

5. Start-up Costs are usually funded in a number of ways. Please circle the letters that correspond to which of the following methods are used by your college and then indicate the approximate fraction of funds for start-up costs that come from each circled source

- a. Positions are left vacant until funds can be accumulated from salary savings_____
- b. Endowment income or annual giving designated for these purposes_____
- c. State Appropriations_____
- d. General Operating Budget of the Department_____
- e. General Operating Budget of the College_____ -
- f. General Operating Budget of the University_____
- g. Other (please indicate sources)_____ -

B. LABORATORY SPACE ALLOCATION RULES

A major cost of the science and engineering research to institutions is the costs of constructing and maintaining laboratory space and equipment.

1. Is laboratory space assigned to all new assistant professors that request it in your college or is it reserved for faculty with external grants?

Assigned to all_____ Only Those with Grants _____
Department or Research Center Decides_____

2. Once a faculty member is assigned laboratory space, is the expectation that the faculty member will cover the costs of operation and maintenance of the space through indirect cost recoveries received on external grants?

Always_____ Usually_____ Sometimes_____ Never_____

Department or Research Center Decides_____

3. If a faculty member does not receive external funding to support his or her laboratory or loses external funding, is the laboratory space allocated to the faculty member reduced or eliminated after a period of time?

Always_____ Usually_____ Sometimes_____ Never_____

Department or Research Center Decides_____

4. If your answer to the previous question was Always, Usually, or Sometimes, how long a “grace period” is the individual usually given before his or her laboratory space is reduced or eliminated?

- a. ____ Years or
b. Depends upon needs of department or research center but grace period usually is at least ____ years
5. When faculty members in your college retire, if they wish to maintain their laboratory space and remain active professionally, are they permitted to keep their laboratory space?
- Always ____ Usually ____ Sometimes ____ Never ____
Department or Research Center Decides ____
6. Do the laboratory space allocation rules that apply to all active faculty members in your college apply to emeritus faculty or do you have different allocation rules for emeritus faculty?
- Same ____ Different ____
Department or Research Center Decides ____
7. Does your college have any shared laboratories or do all laboratories “belong” to individual faculty members?
- At least some shared laboratories ____
- All laboratories “belong” to individuals ____